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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/847,545	05/03/2001	Cuong V. Do	26592.104-US01 117

CONFIRMATION NO. 5993

26853
COVINGTON & BURLING
ATTN: PATENT DOCKETING
1201 PENNSYLVANIA AVENUE, N.W.
WASHINGTON, DC 20004-2401

RECEIVED

SEP 04 2001

Technology Center 2100

FORMALITIES LETTER



OC000000006234082

Date Mailed: 06/27/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

08/28/2001 FFAHHEIA 00000030 09847545

130.00 OP

01 FC:105

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#3

Docket No.: 026592.112-US01
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2100

In re Patent Application of:
Cuong V. Do

Application No.: 09/847,545-5993

Group Art Unit: 2166

Filed: May 3, 2001

Examiner: Not Yet Assigned

For: METHOD AND APPARATUS FOR
CONDUCTING A BIDDING SESSION

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts

Commissioner for Patents
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted
mailed July 27, 2001, Applicant respectfully submits:

1. Copy of the Notice to File Missing Parts of Nonprovisional Application;
2. Declaration for Patent Application;
3. Preliminary Amendment;
4. Submission of Formal Drawings;
5. 29 sheets of formal drawings (Figs. 1-4, 5A-5B, 6, 7A, 7B, 8A, 8B, 9A-9C, 10A-10C, 11A-11C, 12A-12C, 13, 14A-14C, 15A, and 15B);
6. Check No. 284135 for \$130 surcharge for late filing of the Declaration; and
7. Return receipt postcard.

08/28/2001 FFANAEIA 00000030 09847545

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130.00 GP

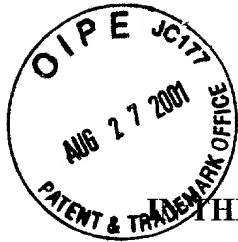
The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 026592.112-US01. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned. A duplicate copy of this paper is enclosed.

Dated: August 27, 2001

Respectfully submitted,

By 
Grady L. White

Registration No.: 40,874
COVINGTON & BURLING
1201 Pennsylvania Avenue, N.W.
Washington, DC 20004-2401
(202) 662-6000



Docket No.: 026592.112-US01
(PATENT)

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Cuong V. Do

Application No.: 09/847,545-5993

Group Art Unit: 2166

Filed: May 3, 2001

Examiner: Not Yet Assigned

For: METHOD AND APPARATUS FOR
CONDUCTING A BIDDING SESSION

TRANSMITTAL LETTER

Attn: Box Missing Parts

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced
Patent Application:

1. Response to the Notice to File Missing Parts of Application (in duplicate);
2. Copy of the Notice to File Missing Parts of Nonprovisional Application;
3. Fee Transmittal;
4. Declaration for Patent Application;
5. Preliminary Amendment;
6. Submission of Formal Drawings;

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Technology Center 2100



#5

Docket No.: 026592.112-US01
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Cuong V. Do

Application No.: 09/847,545-5993

Group Art Unit: 2166

Filed: May 3, 2001

Examiner: Not Yet Assigned

For: METHOD AND APPARATUS FOR
CONDUCTING A BIDDING SESSION

SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Submitted herewith are 29 sheets of formal drawings (Figs. 1-4, 5A, 5B, 6, 7A, 7B, 8A, 8B, 9A-9C, 10A-10C, 11A-11C, 12A-12C, 13, 14A-14C, 15A and 15B) for filing in the above-identified Patent application.

On June 27, 2001, the Office issued a Notice to File Missing Parts of Nonprovisional Application (the "Notice") that included a request to correct the drawings originally submitted with the application. In complying with the Office's request, it was necessary to divide some of the original figures into multiple sheets and renumber those figures. Specifically:

original FIG. 5 was divided into FIGS. 5A and 5B;

original FIG. 7 was divided into FIGS. 7A and 7B;

original FIG. 8 was divided into FIGS 8A and 8B;

original FIG. 9A was divided into FIGS. 9A and 9B;

original FIG 9B was changed to FIG. 9C;

original FIGS 10A and 10B were divided into FIGS. 10A, 10B and 10C;

original FIGS. 11A and 11B were divided into FIGS. 11A, 11B and 11C; and original FIGS. 12A and 12B were divided into FIGS. 12A, 12B and 12C.

Applicant has also corrected three obvious typographical errors in FIG. 4. In the original FIG. 4, reference number 410 erroneously pointed to the wrong drawing element (Main Memory) and reference number 408 appeared twice. In the enclosed formal drawings, reference number 410 now points to the correct drawing element (secondary memory) and the second instance of reference number 408 has been changed to reference number 412.

No new matter was added to the drawings as a result of dividing some of the original figures or correcting the obvious errors on FIG. 4. Applicant respectfully requests that the enclosed formal drawings be substituted for the informal drawings submitted with the originally filed application.

Dated: August 27, 2001

Respectfully submitted,

By 

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